

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of:  Banerjee et al.	: Group Art Unit: Number
Serial No:	: Intellectual Property Law Department
Filed:	: International Business Machines Corp.
Confirmation No.:	: 11400 Burnet Road
	: Austin, Texas 78758
<b>Title:</b> ACCESSING DATA PROCESSING SYSTEMS BEHIND A NAT ENABLED NETWORK	

DATE: 10/13/03

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items required to be submitted with PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Amy Pattillo", with a long horizontal flourish extending to the right.

Amy J. Pattillo  
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In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

Serial No. \_\_\_\_\_

Applicant: Banerjee et al.

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

Atty. Docket No. AUS920030732US1

Reference Designation

U. S. PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub- class</u>	<u>Filing Date if Appropriate</u>
_____ AA	US 2002/0129165 A1	9/12/2002	Network Address Translation and Port Mapping	709	246	3/12/2001
_____ AB	US 2002/0085561 A1	7/4/2002	Method and System for Supporting Global IP Telephony System	370	392	10/5/2001
_____ AC	US 2002/0049859 A1	4/25/2002	Clustered Computer System and a Method of Forming and Controlling the Clustered Computer System	709	246	8/22/2001

FOREIGN PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	<u>Translation</u>
_____ BA	WO 01/59966 A1	8/16/2001	PCT	370	466	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<u>Examiner Initial</u>	
_____ CA	Internet Draft: Resolver Configuration File, accessible on 10/13/03 from <a href="http://www.microsoft.com/technet/treeview/default.asp?url=/technet/prodtech&lt;br/&gt;nol/windowsserver2003/proddocs/standard/sag_dns_und_howdnsworks.asp">http://www.microsoft.com/technet/treeview/default.asp?url=/technet/prodtech nol/windowsserver2003/proddocs/standard/sag_dns_und_howdnsworks.asp</a>
_____ CB	Internet Draft: Configuration file for name server routines, accessible on 10/13/03 from <a href="http://mirrors.ccs.neu.edu/cgi-bin/unixhelp/man-cgi?resolv.conf+4">http://mirrors.ccs.neu.edu/cgi-bin/unixhelp/man-cgi?resolv.conf+4</a>
_____ CC	Internet Draft: How Network Address Translation Works, accessible on 10/13/03 from <a href="http://computer.howstuffworks.com/nat.htm/printable">http://computer.howstuffworks.com/nat.htm/printable</a>

\_\_\_\_\_ CD      Internet Draft: RFC 1631 - The IP Network Address Translator, accessible on  
10/13/03 from <http://www.faqs.org/rfcs/rfc1631.html>

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Examiner:

Date Considered:

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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